

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:

U.S. Patent No. : 5,815,927 Dated: October 15, 2002
Filing Date : January 11, 1996
Granted : October 6, 1998
Reissue Filing Date : October 6, 2000
Serial No. : 09/680,697
Patentee : Walter W. Collins
For : FOLDING KNIFE WITH ACTUABLE SAFETY LOCKING
MECHANISM

dated: October 15, 2002

BOX M-FEE

United States Patent and Trademark Office
P.O. Box 371611
Pittsburgh, Pennsylvania 15250

Sir:

**SUBMISSION OF FIRST U.S. MAINTENANCE FEE AND SURCHARGE
AND STATEMENT OF UNAVOIDABLE DELAY**

In accordance with 35 U.S.C. 41 (c)(1) and 37 C.F.R. 1.20 (i)(1), submitted herewith is the requisite first maintenance fee of \$880.00 and surcharge of \$700.00 for the above-identified United States Letters Patent. The undersigned asserts that patentee is of large entity status and that a failure to pay the first maintenance fee within the grace period was unavoidable.

The failure to pay the maintenance fee was unavoidable because of an error in the docketing system, which resulted in failure to recognize that the maintenance fee was due. Reasonable care was exercised in designing and operating the docketing system, and the error was not appreciated until after the maintenance fee was due, as outlined in Exhibit

A. Please charge Deposit Account No. 11-1540 for any additional fees which may be

required and credit same account for any overpayment. A duplicate copy of this transmittal letter is enclosed.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on October 15, 2002.

Tamara Daw

Tamara Daw

Date of Signature: October 15, 2002



Respectfully submitted,
KOLISCH, HARTWELL, DICKINSON,
McCORMACK & HEUSER

B. Anna McCoy

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47921

U.S. Patent and Trademark Office

10/15/2002

Client Costs Advanced:530 · Client Costs Adv.
Client Costs Advanced:530 · Client Costs Adv.

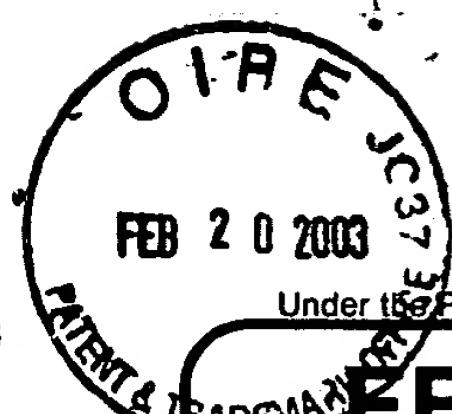
Maintenance Fee
Surcharge for Delay

880.00
700.00

Cash in Bank New Wells KSW 312RI - Kai USA, Ltd.

1,580.00

Tamie



Fee Transmittal for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 930.00

Complete if Known	
Application Number	09/680,697
Filing Date	October 6, 2000
First Named Inventor	Walter W. Collins
Examiner Name	Clark F. Dexter
Art Unit	3724
Attorney Docket No.	KSW 312RI

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

11-1540

Kolisch Hartwell, P.C.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

Fee Calculation

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375			Utility filing fee	
1002 330	2002 165			Design filing fee	
1003 520	2003 260			Plant filing fee	
1004 750	2004 375			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	
SUBTOTAL (1)		(\$) 0			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	=
			-3** =	X	=

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9			Claims in excess of 20
1201 84	2201 42			Independent claims in excess of 3
1203 280	2203 140			Multiple dependent claim, if not paid
1204 84	2204 42			** Reissue independent claims over original patent
1205 18	2205 9			** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 0		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for ex parte reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 410	2252 205			Extension for reply within second month	
1253 930	2253 465			Extension for reply within third month	930.00
1254 1,450	2254 725			Extension for reply within fourth month	
1255 1,970	2255 985			Extension for reply within fifth month	
1401 320	2401 160			Notice of Appeal	
1402 320	2402 160			Filing a brief in support of an appeal	
1403 280	2403 140			Request for oral hearing	
1451 1,510	1451 1,510			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,300	2453 650			Petition to revive - unintentional	
1501 1,300	2501 650			Utility issue fee (or reissue)	
1502 470	2502 235			Design issue fee	
1503 630	2503 315			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180			Submission of Information Disclosure Stmt	
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 750	2809 375			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375			For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 930.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	B. Anna McCoy	Registration No. (Attorney/Agent)	46,077	Telephone	(503) 224-6655
Signature	<i>B. Anna McCoy</i>			Date	February 13, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/680,697
		Filing Date	October 6, 2000
		First Named Inventor	Walter W. Collins
		Group Art Unit	3724
		Examiner Name	Clark F. Dexter
Total Number of Pages in This Submission		Attorney Docket Number	KSW 312RI

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Submission of Ownership Interest & Consent to Reissue Application, Copies of two Agreements, Copy of Submission of First U.S. Maintenance Fee & Surcharge & Statement of Unavoidable Delay with Exhibits A & B, and Substitute Declaration of Walter W. Collins and Petition for Reissue.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	B. Anna McCoy, Reg. No. 46,077 Kolisch Hartwell, P.C.
Signature	
Date	February 13, 2003

CERTIFICATE OF MAILING

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February 13, 2003

Typed or printed name	Tamara Daw	
Signature		Date
	February 13, 2003	

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